
**NOTICE OF PERMISSION
For MIFIDPRU 4.14.6R**

To: CMC Markets UK plc
CMC Spreadbet Plc (*each a "firm"*)

FRN: 173730
170627

Ref: **00003317**
00003416

Of: 133 Houndsditch
London
EC3A 7BX

Date: 14 December 2021

A. Purpose

1. This notice of permission is given by the *FCA* for the purposes of MIFIDPRU 4.14.6R.

B. Duration

2.
 - (1) This notice takes effect on 1 January 2022.
 - (2) This notice expires on 31 December 2024.

C. Decision

3. The *FCA* has decided to grant permission to the firms to exclude transactions with the counterparties listed in Schedule 1 from the calculation of the firm's *K-TCD requirement*.

D. Facts and matters relied on

4. The *FCA* has made this decision on the basis of the facts provided and statements made by the firm(s) in the course of its application for this permission. If any of those facts or statements were not true, or no longer reflect the state of affairs described, this could constitute sufficient grounds to revoke this permission.

E. Interpretation

5. Unless otherwise provided, interpretative provisions (including definitions) of the *Handbook* (version as in force on the date of this notice) apply to this notice in the same way as they apply to the *Handbook*.

DOCUMENT AUTHOR

Marium Mahmood Gul
Waivers Team
Supervision - Retail & Authorisations Division

Schedule 1

Counterparties subject to this permission are:

1. CMC Markets UK plc
2. CMC Spreadbet Plc