
WRITTEN NOTICE

Own Fund Items Not On the List

Article 95 SII Directive

To: Rothesay Life Plc (FRN 466067) ("the firm")

Of: 100 Museum Street, London WC1A 1PB

Ref: 00003501

Date: 17 November 2021

ACTION

- (1) In accordance with Article 95 of Directive 2009/138/EC of the European Parliament and of the Council of 25th November 2009 on the taking-up and pursuit of the business of Insurance and Reinsurance ("Solvency II"), the Prudential Regulation Authority ("the PRA") has decided to grant the firm approval to Own Fund Items Not On the List.
- (2) This approval is granted on the basis that the conditions set out in rule 9.2 of the Technical Provisions Part of the Solvency II Firms PRA Rulebook have been met and continue to be met. If any of those conditions ceases to be met, the PRA may re-consider the terms and conditions of this approval, including revocation in whole or in part.

Decision-Maker and Publication

- (3) The decision which gave rise to the obligation to give this Notice was made by Rhys French, Senior Manager.
- (4) Details of this approval will be published on the Financial Services Register.

PRA contacts

- (5) For more information concerning this matter generally, the firm should contact their usual supervisory contact.

Effect

(6) This approval takes effect on 17 November 2021.

Rhys French

Senior Manager

For and on behalf of The Prudential Regulation Authority